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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Michael C. Rushford, et al.

Docket No.: IL-10738

Serial No.:

10/087,493

Art Unit:

1763

Filing Date: February 2, 2002

Examiner:

Roberts P. Culnert

Title:

METHOD AND SYSTEM FOR OPTICAL FIGURING BY IMAGEWISE

HEATING OF A SOLVENT

**Commissioner for Patents** Alexandria, VA 22313-1450

# PETITION TO CORRECT INVENTORSHIP IN THE PATENT APPLICATION 37 CFR § 1.48(a)

It is respectfully requested that the inventorship of Patent Application Serial No. 10/087,493 filed February 28, 2002 be corrected by adding John S. Toeppen.

This patent application, as filed, through error and without deceptive intent, improperly set forth the inventorship by not naming John S. Toeppen as an inventor.

It is respectfully requested that the correct inventors for patent application Serial No. 10/087,493 filed February 28, 2002 be recorded as follows:

Michael C. Rushford and John S. Toeppen.

I understand that willful false statements are punishable under 18 U.S.C. 1001 and may jeopardize the validity of the application or any patent issuing thereon.

James S. Tak

Deputy Laboratory Counsel

for Intellectual Property

Reg. No. 46,367 04/27/2004 RADUF01 00000058 120695 10087493 P.O. Box 808, L-703 Livermore, CA 94551

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael C. Rushford, et al.

Attorney Docket No.: IL-10738

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For

: METHOD AND SYSTEM FOR OPTICAL FIGURING BY

IMAGEWISE HEATING OF A SOLVENT

**Commissioner for Patents** Washington, D.C. 20231

# STATEMENT OF INVENTOR BEING ADDED IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP IN THE APPLICATION (37 CFR § 1.48(d) and 37 CFR § 1.48(e))

It is agreed that I John Toeppen be added as inventor to the above-referenced patent application, Serial No. 10/087,493 filed February 28, 2002. I was left off as an inventor in error and such error arose without any deceptive intent on my part.

Date 4/22/04

# COMBINED DECLARATION AND POWER OF ATTORNEY

Declaration submitted with Initial Filing

◆ Declaration submitted
 after Initial Filing (surcharge
 (37 CFR 1.16(e)) required)

Attorney Docket: IL-10738 Applicant: Rushford et al Serial No.: 10/087,493 Filing Date: Feb. 28, 2002

### As a below named inventor(s), I (we) hereby declare that:

My (Our) residence, post office address and citizenship(s) are as stated below next to my (our) name(s).

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  Method and System for Optical Figuring by Imagewise Heating of a Solvent
the specification of which (check one)
is attached hereto X was filed on Febuary 2, 2003 as United States Application
Number or PCT International Application Number
and was amended on(if applicable).
I (We) hereby state that I (we) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I (We) acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
I (We) hereby claim foreign priority benefits under 35, U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.
Prior Foreign Application(s)  Priority Not Claimed
(Application Number) (Country) (Foreign Filing Date)
I (We) hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:
Application Serial No. Filing Date

I (We) hereby claim the benefit under 35 U.S.C. 120 of any United States applications(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

10/087,493	February 2, 2002	Pending
Application Serial No.	Filing Date	Status

**POWER OF ATTORNEY**: As the named inventor(s), I (we) hereby appoint the following registered practitioner(s) to prosecute this application, and to transact all business connected therewith, in any patent office, U.S. or foreign.

Names:	Reg. No.	Name:		Reg. No.
Alan H. Thompson	29,981	Eddie E. Scott		25,220
John H Lee	53,193	Ann M. Lee		47,741
James S. Tak	46,367	Michael C Staggs		50,938
James S. Tak			<u> </u>	
Direct Corresponde	ence To:		Direct T	elephone Calls To:
TAILLES OF LAK	Assistant Laboratory Counsel		James S. Tak	
	y Counsel		J	ames S. Tak
Assistant Laborator	ry Counsel re National Laboratory			ames S. Tak 25) 422-7274
Assistant Laborator	re National Laboratory			

#### DECLARATION

I (We) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

First Inventor				
Michael C. Rushford	Wested Rafe			
Full Name of Inventor	Signature			
Livermore, CA 94550	04/22/04			
Residence (City, State or Foreign Country)	Date			
1890 So., Livermore, CA 94550	US			
Postal Address (Street, City, State, Zip Code)	Citizenship			

Second Inventor

John S. Toeppen

Full Name of Inventor

Livermore, CA 94550

Residence (City, State or Foreign Country)

Date

5271 Irene Way Livermore, CA 94550

Postal Address (Street, City, State, Zip Code)

Citizenship

**PATENT** 

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IMAGEWISE HEATING OF A SOLVENT

**Commissioner for Patents** Alexandria, VA 22313-1450

#### WRITTEN CONSENT OF THE ASSIGNEE (37 CFR 1.48(a)(4))

I, Alan H. Thompson, have authority to act on behalf of the Regents of the University of California, the sole assignee of the above-referenced nonprovisional patent application, Serial No. 10/087,493 filed February 28,2002, Attorney docket No. IL-10738.

As representative for the Regents of the University of California, I hereby give my consent to the requested inventorship correction of adding John Toeppen as an inventor to the above-referenced nonprovisional patent application, Serial No. 10/087,493 filed February 28,2002.

This nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship by omitting John Toeppen.

Date 4.2304

Alan H. Thompson

Deputy Laboratory Counsel for Intellectual

**Property** 

Reg. No. 25,220

P.O. Box 808, L-703 Livermore, CA 94551



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Applicant: Michael C. Rushford et al

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Examiner: Roberts P. Culbert

For

: Method and System for Optical Figuring By Imagewise

Heating of a Solvent

Commissioner for Patents Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

- 1. Petition to Correct Inventorship in the Patent Application (1 page)
- 2. Statement of Inventor being added in Support of Petition (1 page)
- 3. Combined Declaration and Power of Attorney (3 pages)
- 4. Written Consent of the Assignee (1 page)
- 5. Authorization to Charge Fee to Deposit Account (1 page)
- 6. Certificate of Mailing (1 page)
- 7. Return Postcard

is being deposited with the United States Postal Service as Express mail in an envelope addressed to:

Mail Stop: Amendment Commissioner for Patents Alexandria, Virginia 22313-1450

on April 23,2004

Teresa Walls

(Type or print name of person mailing paper)

(Signature of person mailing paper)



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# AUTHORIZATION TO CHARGE FEE(S) TO DEPOSIT ACCOUNT 12-0695

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

Please charge Deposit Account 12-0695 the appropriate small entity fees of \$65.00 for the late filing fee for a corrected Declaration, \$130.00 for the Petition to Correct Inventorship in a Patent filed herewith. If an additional fee is required, please charge the above-mentioned account as appropriate.

Dated: 4-23-04

Respectfully submitted,

James S. Tak

Attorney for Applicant Registration No. 46,367

Tel. No. (925) 422-7274